

International Design Application (Hague)

Quick Start Guide

January 16, 2018



EFS-Web

Quick Start Guide

**International Design Application
(Hague) for filing through the
USPTO as an office of indirect filing**

Entity Status Change

Quick Start Guide

UNITED STATES
PATENT AND TRADEMARK OFFICE



International Design Application (Hague)

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1. Introduction

This guide is for submitting international design applications through the USPTO via EFS-Web. For general information on submitting applications electronically via EFS-Web please review the EFS-Web Quick Start Guide located at <http://www.uspto.gov/patents/process/file/efs/guidance/EFSS-WebQuickStartGuide.pdf>

The Geneva Act of the Hague Agreement Concerning the International Registration of Industrial Designs (“Hague Agreement”) allows applicants to apply for protection for up to 100 designs in the Contracting Parties to the Hague Agreement by filing a single standardized international design application in a single language. The international design application may be filed indirectly through the office of the applicant’s Contracting Party or directly with the International Bureau. To file an international design application through the USPTO as an office of indirect filing, the applicant, or each applicant if there is more than one applicant, must be a national of the United States or have a domicile, a habitual residence, or a real and effective industrial or commercial establishment in the United States. In addition, the applicant, or each applicant if there is more than one applicant, must indicate the United States as their Contracting Party.

This Quick Start Guide will provide you with the information you need in order to rapidly understand how to file an international design application through the USPTO as an office of indirect filing, including a brief overview of the process and screen shots that identify critical aspects of the submission process.

A more comprehensive guide on how to use EFS-Web can be found at the EFS-Web Guidance and Resources page located at: <http://www.uspto.gov/patents-application-process/applying-online/efs-web-guidance-and-resources>.

Additional information concerning the Hague Agreement, including a list of countries that are Contracting Parties to the Hague Agreement, can be found on the website of the World Intellectual Property Organization (WIPO) at <http://www.wipo.int/hague/en/>. In addition, a resource page for international design applications can be found on the website of the USPTO at: <http://www.uspto.gov/patent/initiatives/hague-agreement-concerning-international-registration-industrial-designs>.

2. Basic Guidelines for Filing an International Design Application Through the USPTO

- You must be able to access EFS-Web.
- The radio button “International Design Application (Hague) for filing through the USPTO as an Office of Indirect Filing” **must** be selected.
- You can be either a Registered or Unregistered eFiler. Only Registered eFilers may:
 - Save a submission as a work-in-progress for up to 7 days

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- Submit follow-on documents and/or fees into an existing Hague filing via the Electronic Filing System
- View an international design application in Private Patent Application Information Retrieval (PAIR) upon association of the Registered eFiler's digital certificate with a customer number
- Consult the current fee schedule available at <http://www.uspto.gov/learning-and-resources/fees-and-payments> for the correct transmittal fee amount required by the USPTO for transmitting an international design application to the International Bureau of WIPO.
- Fees associated with the filing of an international design application, other than the transmittal fee, are payable to WIPO and can be calculated using the WIPO Hague System Fee Calculator currently available at: <http://www.wipo.int/hague/en/fees/calculator.jsp>.

Warning: EFS-Web sessions will time-out after one hour of inactivity. A Hague Submission that has not been submitted or saved after one hour of inactivity will be lost. You will need to login to EFS-Web again and re-enter the information from the start.

3. Filing an International Design Application Through the USPTO

3.1 Signing-On to EFS-Web

Persons filing international design applications have the option of signing-on to EFS-Web as either a Registered or Unregistered eFiler.

3.1.1 Registered eFiler

Once you have signed-on to EFS-Web as a Registered eFiler, you will need to certify that you are the certificate holder or working under the authority of the certificate holder. Registered eFilers who authenticate can save "In-progress" submissions and return to edit them prior to completing the submission, but any required payment will be due upon filing of the completed submission.

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EFS Registered

Registered eFilers Please Read Announcements

Certification

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function a
All items denoted by * are required.

Advisory (25SEPT2013) The After Final Consideration Pilot 2.0 (AFCP 2.0), which had been scheduled to unchanged. [Learn more about AFCP 2.0.](#)

IMPORTANT: Please read the [Legal Framework for using EFS-Web](#). For information on the Paperwork Re-written statements under 37 CFR 1.501, please see the [OMB Clearance and PRA Burden Statement page](#).

Your Digital Certificate has been authenticated - please certify your identity:

I certify that I am the certificate holder

I certify that I am working under the authority of the certificate holder:

[*Main Functions](#)

To submit a new international design application via EFS-Web select the “**New application/Proceeding**” radio button, the Web screen will then expand to display additional options. Select the radio button located next to “**International Design Application (Hague) for filing through the USPTO as an office of indirect Filing**” and click Continue.

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EFS Registered

Registered eFilers Please Read Announcements

Certification

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are submitted.
All items denoted by * are required.

Advisory (25SEPT2013) The After Final Consideration Pilot 2.0 (AFCP 2.0), which had been scheduled to expire on September 30, 2013, has been extended until September 30, 2014. [Learn more about AFCP 2.0.](#)

IMPORTANT: Please read the [Legal Framework for using EFS-Web](#). For information on the Paperwork Reduction Act as it pertains to written statements under 37 CFR 1.501, please see the [OMB Clearance and PRA Burden Statement page](#).

Your Digital Certificate has been authenticated - please certify your identity:

I certify that I am the certificate holder

I certify that I am working under the authority of the certificate holder:

*Main Functions

New application/proceeding

(This includes new filings of continuation, divisional, continuation-in-part and re-issue applications, as well as reexamination and supplementary examination documents and must be filed as a registered eFiler.)

*Select Type of New Application/Proceeding

Utility (Please select a type below) **i**

Design (Please select a type below) **i**

International Application (PCT) for filing in the US receiving office **i**

International Design Application (Hague) for filing through the USPTO as an office of indirect Filing **i**

Supplemental Examination **i**

Existing application/patent/proceeding **i**

Express Filing (Zip submission) **i**

My Workplace

3.1.2 Unregistered eFiler

Once you have signed-on to EFS-Web as an Unregistered eFiler, you will need to enter your last name, first name and e-mail address.

To submit a new international design application via EFS-Web select the “**New application/Proceeding**” radio button, the Web screen will then expand to display additional options. Select the radio button located next to “**International Design Application (Hague) for filing through the USPTO as an office of indirect Filing**” and click Continue.

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EFS Unregistered

Unregistered eFilers Registered eFilers Please Read Announcements

Welcome to Electronic Patent Filing for UNREGISTERED eFILERS

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO. All items denoted by * are required.

Advisory (25SEPT2013) The After Final Consideration Pilot 2.0 (AFCP 2.0), which had been scheduled to expire on September 30, 2013, has been extended unchanged. [Learn more about AFCP 2.0.](#)

IMPORTANT: Please read the [Legal Framework for using EFS-Web](#). For information on the Paperwork Reduction Act as it pertains to: ePetitions, third party written statements under 37 CFR 1.501, please see the [OMB Clearance and PRA Burden Statement page](#).

Sign-on as an UNREGISTERED eFILER

* e-Filer Last Name:

* e-Filer First Name:

* e-Filer E-Mail Address:

*Main Functions

New application/proceeding

(This includes new filings of continuation, divisional, continuation-in-part and reissue applications, as well as reexamination and supplemental examination proceedings considered existing documents and must be filed as a registered eFiler.)

*Select Type of New Application/Proceeding

Utility (Please select a type below) [i](#)

Design (Please select a type below) [i](#)

International Application (PCT) for filing in the US receiving office [i](#)

International Design Application (Hague) for filing through the USPTO as an office of indirect Filing [i](#)

Supplemental Examination [i](#)

Existing application/patent [i](#)

[Privacy Policy](#)

3.2 Entering Data for an International Design Application

On the “**Application Data**” page, the following sections shall be available for completion:

- Application Data
- Applicant Name
 - Natural Person
 - Legal Entity
- Correspondence Address
 - Customer Number

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- Correspondence Address

3.2.1 Title of Invention

The title of the invention may be provided but is not required. If provided, the title of the invention may not exceed 500 characters in length and must be as short and specific as possible. Characters that cannot be captured and recorded in the Office's automated information systems may not be reflected in the Office's records in such systems or in documents created by the Office. [View list of valid characters for a patent title.](#)

Note: If the United States is designated, a title furnished via EFS-Web may be used as the title in communications sent by the USPTO as a designated office.

3.2.2 Attorney Docket Number

An Attorney Docket Number is a Reference text of up to 25 alphanumeric characters that is used to identify a patent application. This number is not assigned by the USPTO and can be any combination of numbers and letters.

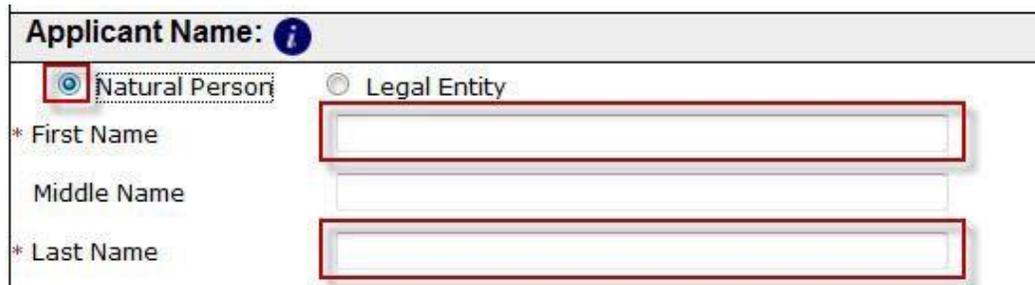
The screenshot shows the EFS-Web interface for an International Design Application. At the top, there is a navigation bar with buttons for 'Unregistered eFilers', 'Please Read Announcements', 'Application Data', 'Attach Documents', 'Review Documents', 'Calculate Fees', 'Confirm & Submit', 'Pay Fees', and 'Receipt'. Below this is the 'Application Data' section, which includes a warning: 'A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and Time. The Acknowledgement Receipt is evidence of this submission.' Below the warning, it states 'All items denoted by * are required.' The 'Title of Invention' field is a large text area with a red box around it, and the 'Attorney Docket Number' field is a smaller text area, both with information icons. Below these fields is the 'Applicant Name' section, which has a radio button for 'Natural Person' (selected) and 'Legal Entity'. Below the radio buttons are fields for '* First Name' and 'Middle Name'.

3.2.3 Applicant Name

Names of natural persons shall be indicated by the person's family name and given name(s), the family name being indicated before the given name(s). When the **Natural Person** radio button is selected the First name and Last name fields must be completed.

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Applicant Name: ⓘ

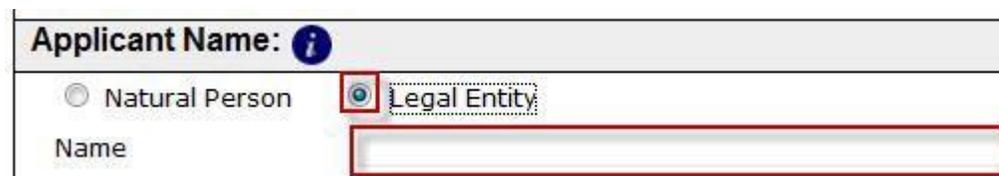
Natural Person Legal Entity

* First Name

Middle Name

* Last Name

Names of legal entities shall be indicated by their full, official designations. When the **Legal Entity** radio button is selected the Name field must be completed.



Applicant Name: ⓘ

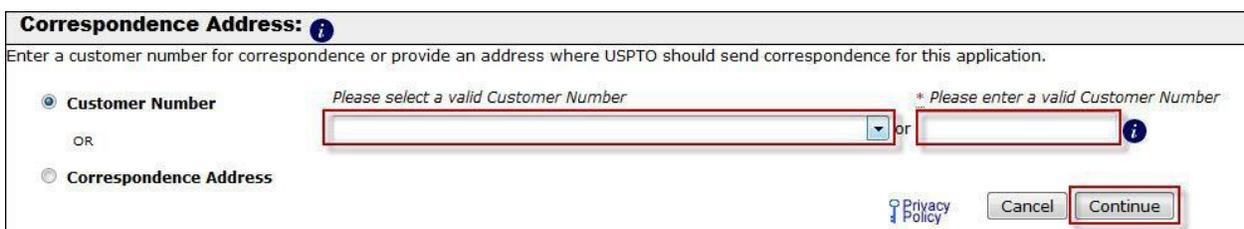
Natural Person Legal Entity

Name

3.2.4 Correspondence Address

The correspondence address for an international design application may be indicated by a customer number or by entering a correspondence address.

If the Customer Number radio button is selected, the user may either select their customer number from the provided dropdown list or manually enter the number.



Correspondence Address: ⓘ

Enter a customer number for correspondence or provide an address where USPTO should send correspondence for this application.

Customer Number Please select a valid Customer Number or * Please enter a valid Customer Number ⓘ

OR

Correspondence Address

[Privacy Policy](#)

If the Correspondence Address radio button is selected, the user must complete the following required fields:

- Name 1
- Street Address 1
- City
- Country

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Correspondence Address: 

Enter a customer number for correspondence or provide an address where USPTO should send correspondence for this application.

Customer Number

OR

Correspondence Address

If you do not have a customer number, please provide a correspondence address.

*Name 1

Name 2

*Street Address 1

Street Address 2

* City

State

*Country

Postal Code

Telephone Number

e-Mail Address

 [Privacy Policy](#)

Once the appropriate fields have been completed, click on the “**Continue**” button to proceed.

Note: If a customer number is not entered, then the submitted application will not be associated with the Registered eFiler’s digital certificate and will not be viewable in Private PAIR.

Warning: A customer number should not be used to specify a correspondence address in the “Application for International Registration” (DM/1 form), as the address fields in the DM/1 form are for use by the International Bureau, which does not recognize customer numbers. Rather, the customer number should be furnished in a separate signed document, such as a transmittal letter, that accompanies the DM/1 form.

If you need to make any corrections, go back to the “**Application Data**” screen by clicking on the “**Application Data**” tab at the top of the screen. You may also click the “**Application Data**” hyperlink above the displayed Bibliographic Data. After making any corrections, you must click on the “**Continue**” button located at the bottom of the “**Application Data**” screen in order to apply any changes.

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3.3 Attaching Documents

EFS Registered

Registered eFilers | Please Read Announcements | **Application Data** | Attach Documents | Review Documents | Calculate Fees | Confirm & Submit | Pay Fees | Receipt

Attach Documents

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO. This is the application data associated with your filing. If any information is incorrect, please edit the **Application Data**.

Title of Invention: New Hague Filing

Applicant Name: Joe Inventor

Customer Number or Correspondence Address: Joe Inventor, 1234 Any Street, Patterson, NJ US

Filed By: C

Attorney Docket Number:

Application Type: International Design Application (Hague) for filing through the USPTO as an office of indirect filing

Attach Documents other than Reproductions

Files to be Submitted	Category	Doc
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On the “**Attach Documents**” screen, you can upload documents for an international design application filing. The “**Attach Documents**” screen has two different sections for attaching documents: (1) “**Attach Documents other than Reproductions;**” and (2) “**Attach Reproductions.**” These sections are discussed in detail below.

3.3.1 Attaching Documents other than Reproductions

The “**Attach Documents other than Reproductions**” section is used for attaching documents other than reproductions (drawings), such as the “Application for International Registration” (DM/1 form) and any annexes to the DM/1 form. The DM/1 form and annexes are available on the website of WIPO at <http://www.wipo.int/hague/en/forms/>.

New international design applications filed through the USPTO should **always** include the DM/1 form (or a form having the same format and contents as the DM/1 form). The DM/1 form includes boxes for indicating the applicant, applicant’s entitlement to file an international design application, applicant’s Contracting Party, the creator, designations of Contracting Parties, and other relevant information. The document description “**App for Intl Registration (DM/1 or equiv)**” should be used when attaching the DM/1 form in EFS-Web.

Warning: To file an international design application through the USPTO as an office of indirect filing, **each** applicant must be a national of the United States or have a domicile, a habitual residence, or a real and effective industrial or commercial establishment in the United States. In addition, the United States must be indicated as **each** applicant’s Contracting Party. These indications should appear in the appropriate sections of the DM/1 Form.

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Any annexes to the DM/1 form should be attached in EFS-Web using the document description **“Annex(es) to the DM/1 or equivalent”**.

Annexes relevant to the designation of the United States include annexes for submitting the inventor’s oath or declaration, a micro entity certification, and an information disclosure statement (IDS).

Note: An IDS may be submitted directly to the USPTO after WIPO publication of the international registration. The IDS will be considered by the USPTO if filed within three months from the date of publication of the international registration, or after such three-month period as provided in 37 CFR 1.97.

The procedure for attaching documents via the **“Attach Documents other than Reproductions”** is as follows:

Step 1: Click on the **“Browse/Choose File”** button to search your computer for the document to be uploaded. Note: it might be beneficial to use a file name representative of the document being uploaded (e.g., “Jones.pdf” for a copy of a document authored by Jones).

Step 2: Once the document is uploaded, you will need to index it correctly. The **“Category”** drop down menu allows document descriptions to be narrowed down based on file being attached. The **“Document Description”** drop down menu represents how the document will be indexed once submitted.

Files to be Submitted	Category	Document Description
1 H:\Testing\PDFs\Test_Document... <input type="button" value="Browse..."/> Does your PDF file contain multiple documents?	<input type="button" value="View All Categories"/> <input type="radio"/> Yes <input checked="" type="radio"/> No	<input type="button" value="Add File"/>

Additional documents may be attached by clicking the **“Add File”** button. You will need to repeat Steps 1 and 2 for each document being attached.

3.3.2 Attaching Reproductions

Reproductions may be submitted as drawings, photographs, or a combination thereof, and may be in black and white or in color. Technical requirements regarding image files such as resolution, minimum and maximum image size, border width, etc., are set forth on the WIPO website at http://www.wipo.int/hague/en/how_to/file/prepare.html.

Reproductions should be submitted as single page PDF or JPEG files by attaching the file(s) using the **“Attach Reproductions”** section of the **“Attach Documents”** screen. While it is possible to submit reproductions as a PDF using the **“Attach Documents other than Reproductions”** section, it is *strongly recommended* that reproductions be submitted using the **“Attach Reproductions”**

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section, rather than the “**Attach Documents other than Reproductions**”, as this may help to avoid incurring additional per page publication fees that might otherwise be required by the International Bureau.

Warning: Reproductions should be attached via the “**Attach Reproductions**” section. Reproductions attached via the “**Attach Documents other than Reproductions**” may be treated by the International Bureau as being submitted on paper, and thus subject to the additional per page publication fees for reproductions submitted on paper.

Each single page PDF or JPEG file attached through the “**Attach Reproductions**” section cannot exceed a file size of two megabytes. In addition, each image file should contain only one view of the design and should not include any numbering of the reproduction in the image. Reproduction numbering is accomplished by the “**Attach Reproductions**” section, which prompts input of a design and view number for each file attached under this section. For JPEG submissions, EFS-Web will provide warnings where requirements pertaining to image resolution and minimum and maximum dimensions have not been satisfied; EFS-Web does not check color mode or border size for JPEG images. For PDF submissions via the “**Attach Reproductions**” section, EFS-Web will not permit submission of any PDF file that is more than one page. However, EFS-Web does not check color mode, border size, resolution, or maximum or minimum dimensions of the reproduction (other than certain minimum and maximum page size dimensions) for PDF images.

The document description “**drawings – only black and white line drawings**” or “**drawing – other than black and white line drawings**” should be used, as appropriate, when attaching reproductions. EFS-Web will provide a warning where a new international design application submission does not contain an indication that at least one reproduction is attached.

*The procedure for attaching the reproductions via the “**Attach Reproductions**” section is as follows:*

Step 1: Click on the “**Browse/Choose File**” button to search your computer for the document to be uploaded. Note: Only images in JPEG or PDF format may be attached in this section.

Step 2: Once the document is uploaded, you will need to index it correctly. When attaching views the “**Category**” drop down menu will not be available as the only application part being attached in this section is Drawings. Using the “**Document Description**” drop down menu indicate whether the drawing being attached is Black and White or Other than Black and White.

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Attach Reproductions ⓘ

Instructions: Click the "Browse" button to attach each reproduction. To avoid additional per page publication fees, each reproduction must be submitted as a single page PDF or JPEG file that contains only one reproduction per file. In addition, the numbering of the reproduction should not appear in the image content but rather associated with the PDF or JPEG file via the attaching section below. See <http://www.wipo.int/hague/en/fees/sched.htm>. To add additional views or designs, click "Add View" or "Add Design" Button. To reorder the sequence of views or designs click the "Move Up" or "Move Down" buttons.

Design 1 Delete Move Down Move Up

Files to be submitted	Document Description
View 1.1 H:\Testing\VEGS\TEST_JPEG.jpg Browse...	Drawings-only black and white line drawings Drawings-other than black and white line drawings Delete Move Down Move Up Add View

Add Design

Additional views may be added to a Design by clicking the **"Add View"** button. Additional Designs may be added to a submission by clicking the **"Add Design"** button.

Attach Reproductions ⓘ

Instructions: Click the "Browse" button to attach each reproduction. To avoid additional per page publication fees, each reproduction must be submitted as a single page PDF or JPEG file that contains only one reproduction per file. In addition, the numbering of the reproduction should not appear in the image content but rather associated with the PDF or JPEG file via the attaching section below. See <http://www.wipo.int/hague/en/fees/sched.htm>. To add additional views or designs, click "Add View" or "Add Design" Button. To reorder the sequence of views or designs click the "Move Up" or "Move Down" buttons.

Design 1 Delete Move Down Move Up

Files to be submitted	Document Description
View 1.1 Browse...	Drawings-only black and white line drawings Delete Move Down Move Up Add View

Add Design

Users are advised not to submit credit card payment form PTO-2038 via EFS-Web. Submission of the credit card payment form via EFS-Web may result in the form being included among the patent or trademark records open for public inspection. Users choosing to with a credit card should instead utilize the on-line payment method available through EFS-Web.

You will need to repeat Steps 1 and 2 for each view being attached.
Click on the **"Upload & Validate"** button after all documents have been uploaded.

Moving of Views: Once views have been attached the option to move up and down within a Design is made possible by clicking the "Move Down" or "Move Up" buttons to the right of the view.

3.4 Review Documents

On the **"Review Documents"** screen, confirm that all of the necessary documents uploaded properly. If you receive any validation errors you will not be able to proceed to the next screen until the errors are fixed. However, you will be able to proceed to the next screen if you receive any validation warnings.

Once you have uploaded all of the necessary documents for your submission and received no validation errors, click on the **"Continue"** button to advance to the next screen.

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EFS Unregistered

Unregistered eFilers | Please Read Announcements | Application Data | Attach Documents | Review Documents | Calculate Fees | Confirm & Submit | Pay Fees | Receipt

Review Documents

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement I

This is the application data associated with your filing. If any information is incorrect, please edit the [Application Data](#)

Title of Invention: New Hague Filing
Applicant Name: Joe Inventor
Customer Number or Correspondence Address: Joe Inventor, 1234 Any Street, Patterson US
Filed By: Joe Inventor
Attorney Docket Number:
Application Type: International Design Application (Hague) for filing through the USPTO as an office of indirect filing

▲ Please ensure that the DM/1 form (or equivalent) indicates applicants entitlement to file the international design application through the USPTO as an office of indirect filing. To be entered must be indicated in Box 3 of the DM/1 form as either being a national of the United States or as having a domicile, real and effective industrial or commercial establishment, or habitual United States must be indicated as applicants contracting party in Box 4 for each applicant. The USPTO will not transmit the international design application to the International Bureau if

To review a Document, please click on the document name. To add more files please [Attach Files](#)

Validation Status	Files to be Submitted	Multi-Doc PDF	Document Description	Page Count	Size	
PASS	1.pdf	NO	App for Intl Registration (DM/1 or equiv)	6	195842 bytes	<input type="button" value="Edit"/> <input type="button" value="Remove"/>

◆ No validation errors found.

To review a Reproduction, please click on the document name. To remove, reorder, edit or add reproductions, go to [Attach Files](#)

Design Reproduction Files

Validation Status	Design.View Reproductions to be Submitted	Document Description
	1.1 atestCJG.jpg ◆ No validation errors found.	Drawings-only black and white line drawings
	1.2 atest.jpg ◆ No validation errors found.	Drawings-only black and white line drawings

Privacy Policy |

◆ No Error(s) ▲ Warning(s) ▼ Error(s) found

If your document could not be validated, an error message will appear informing you of the reason for the validation failure. Attaching a document that is not a PDF or JPEG will cause a failure to validate, as will not choosing a document description. For more information, please review the EFS-Web Quick Start guide located at <http://www.uspto.gov/patents/process/file/efs/guidance/EFSS-WebQuickStartGuide.pdf>.

3.5 Calculate Fees

An international design application filed with the USPTO as an office of indirect filing is subject to payment of a transmittal fee to the USPTO, in addition to the applicable international fees payable to the International Bureau. The transmittal fee may be paid via EFS-Web using online payment screens that interface with the Revenue Accounting and Management (RAM) system. The USPTO will **not transmit** the international design application to the International Bureau **if the transmittal fee has not been paid** to the USPTO.

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Warning: An international design application not received by the International Bureau within six months from the date the international design application is received by the USPTO will not be entitled to a filing date as of the date of receipt by the USPTO.

Certain international design application fees payable to the International Bureau may be paid through the USPTO as an office of indirect filing **provided that such fees are paid no later than the date of payment of the transmittal fee**. Applicants are not required to pay such fees through the USPTO but rather may pay such fees directly to the International Bureau. Furthermore, any payment of such fees through the USPTO must be in U.S. dollars. As all payments made to the International Bureau must be in Swiss currency, the U.S. dollar amount collected may, when converted to Swiss currency, be different than the required Swiss currency amount. Accordingly, applicants are cautioned that paying such fees through the USPTO may still result in a requirement by the International Bureau to pay additional amounts where the conversion from U.S. dollars to Swiss currency results in the International Bureau receiving less than the prescribed amounts. For additional information regarding how to pay WIPO application fees directly to the International Bureau, see <http://www.wipo.int/about-wipo/en/finance/hague.html>.

International fees payable to WIPO include the basic fee, designation fee(s), publication fee, and a fee for a description exceeding 100 words. The “Calculate fees” screen of EFS-Web does not provide for individual selection of these fees but rather provides for input of one lump sum in Swiss francs. The screen includes a link to the WIPO Hague System Fee Calculator (<http://www.wipo.int/hague/en/fees/calculator.jsp>). Clicking this link will open the WIPO Fee Calculator, as seen below, in a new browser window.

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Hague System Fee Calculator

Enter the details below to quickly estimate the application or renewal fees in Swiss francs (CHF)

Type:	International Application
Date:	13.05.2015
Applicant's State of origin (Hague "1960" Act):	Not Selected
Applicant's Contracting Party (Geneva "1999" Act):	Not Selected
Add the number of industrial designs:	1
Add the number of reproduction(s) of the industrial design(s):	1
If using the paper application form, add the number of pages of reproductions: (free if you use the e-filing interface)	0
Add the number of words of description:	0
Locarno Classification:(For KR designations only)	1
Economic Status : (For US designations only)	Undiscounted (default)

Select All Clear All Continue

Related Links

- Schedule of Fees
- Individual fees
- FAQs
- E-filing/Forms

The WIPO Fee Calculator can be used to determine the total amount of international fees payable to the International Bureau in Swiss francs. Note that the “Economic Status” indicator on the WIPO Fee Calculator can be used to indicate, for purposes of the designation of the United States, applicant’s entity status as undiscounted, small entity, or micro entity, and the appropriate amount of the first part of the individual designation fee for the United States will be automatically determined. The total amount of the application fees payable to the International Bureau in Swiss francs can then be copied and pasted into the “International Fees Payable to WIPO (CHF)” field of the EFS-Web Calculate Fees screen, and EFS-Web will calculate the U.S. dollar amount based on current exchange rates. EFS-Web will only permit payment of the international fees that are payable to the International Bureau until 12 midnight Eastern Time of the date of payment of the transmittal fee.

The “**Calculate Fees**” screen allows eFilers to select the appropriate entity status in the top left hand corner of the screen. Effective January 16, 2018, a small entity discount of 50% and a micro entity discount of 75% are available with respect to the transmittal fee. The provisions for qualifying for small or micro entity status are set forth in 37 CFR 1.27 and 1.29, respectively. To establish micro entity status, a certification of micro entity status form (Form PTO/SB/15A or PTO/SB/15B) must be submitted. Small entity status may be established by submitting a signed written assertion of entitlement to small entity status (37 CFR 1.27(c)(1)). Box 18 of the DM/1 form may be used to provide the written assertion of entitlement to small entity status where the United States is designated.

International Design Application (Hague)

Selecting the appropriate entity status on the “**Calculate Fees**” screen will not change the total amount of the international fees payable to the International Bureau as determined by the WIPO Fee Calculator, as any reduction in the designation fee for the United States based on small or micro entity status should already be accounted for by the WIPO Fee Calculator, as set forth above.

International Design Application (Hague)

Quick Start Guide

EFSE Unregistered

Unregistered efilers | Please Read Announcements | Application Data | Attach Documents | Review Documents | Calculate Fees | Confirm & Submit | Pay Fees | Receipt

Calculate Fees

If you are not paying fees for this filing at this time, you may continue to [Confirm/Submit](#)

Failure to pay the transmittal fee will result in the international design application not being transmitted to the International Bureau. See 37 CFR 1.1045. An international design application not received by the International Bureau by the USPTO will not be entitled to a filing date as of the date of receipt of the application by the USPTO. See Hague Agreement Rule 13.

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement R

Fees Displayed: Regular Undiscounted

Entity Status for Fee Purposes: ⓘ

[Regular Undiscounted](#) | [Small Entity](#) | [Micro Entity](#)

Fee Calculator

International Design Application Fees

Transmittal Fee Note: Payment of international fees payable to WIPO may only be made until 12 midnight Eastern Time of the date of payment of the Transmittal Fee.

Amount:	\$120	Correspondence filed after the application has been transmitted to the International Bureau will not be forwarded to the International Bureau. Any correspondence intended for the International Bureau after transmittal of the application should be sent directly to the International Bureau.
Fee Code:	1781	

Fees associated with the filing of an International Design Application, other than the transmittal fee, are payable to WIPO and can be calculated using the WIPO Industrial Designs Fee Calculator currently available at: <http://www.wipo.int/hague/en/fees/calculator.jsp>. The WIPO Fee Calculator will calculate the amount in Swiss Francs (CHF), which can be copied into the field labeled 'International Fees Payable to WIPO (CHF)' below. EFS-Web will automatically convert the Swiss currency amount into U.S. dollars based on current exchange rates. Please note that because of fluctuations in currency exchange rates, the actual Swiss currency amount transmitted to WIPO may be less than the calculated amount, and applicants may be subject to a requirement by the International Bureau to pay additional amounts. Applicants are not required to pay fees payable to WIPO through the USPTO. Applicants choosing not to pay such fees through the USPTO will receive an invitation from the International Bureau to pay the requirement fees, unless payment is otherwise provided to the International Bureau, such as through inclusion in the application of a proper WIPO current account authorization. Fees payable to WIPO may not be paid through the USPTO after the date of payment of the transmittal fee. See 37 CFR 1.1031.

International Fees Payable to WIPO (CHF)

Converted Amount in USD \$0

Petition Filing Fees (select all that apply)

[Privacy Policy](#) | | | |

After fees have been entered/selected click the **“Calculate”** button, so submission fees are summed in the **“Total Fees Due”** field. Then click **“Continue”** to proceed.

International Design Application (Hague)

Quick Start Guide

3.7 Pay Fees

On the “**Pay Fees**” screen, you have the option of paying via “USPTO Deposit Account,” “Credit/Debit Card,” or “Electronic Funds Transfer.”

To make a payment, click the “**Pay with the New USPTO Payment System**” button located at the bottom of the screen to be directed to Financial Manager. Once on the Financial Manager page you will be able to make payment with a Credit/Debit Card as Guest, or sign in with a MyUSPTO.gov account to make payment with a stored Credit/Debit Card, Deposit Account, or EFT account.

Note: You will not be able to view the “**Pay Fees**” screen if fees were not previously calculated. Instead you will be forwarded to the “**Receipt**” screen.

Title of Invention	New Hague Filing
Applicant Name	Joe Inventor
Customer Number, Correspondence Address	Joe Inventor 1234 Any Street Patterson US US
Filed By	SIT RegAtty Tester3
Attorney Docket Number	
Application Type	International Design Application (Hague) for filing through the USPTO as an office of indirect filing

The following fees will be collected for this application.

Fee Description	Fee Code	Quantity	Fee Amount	Fee Total
TRANSMITTAL FEE	1781	1	120	120

Total Payment \$ 120

Payment Method
[Read more about the security of your transaction.](#)

New USPTO Payment System ⓘ

Pay using one of the following payment options which can be stored in your [USPTO.gov Account](#):

- USPTO Deposit Account
- Credit/Debit Card
- Electronic Funds Transfer(EFT)

[Why this change?](#)

[Pay Later, Generate Acknowledgement Receipt](#)

3.8 Acknowledgement Receipt

Once you have provided all of the necessary payment information and your payment is processed successfully, you will receive your Acknowledgement Receipt on the “**Receipt**” screen. You may print or save the receipt. Registered eFilers will also have the option of viewing the Acknowledgement Receipt under “My Workplace” on EFS-Web. The Acknowledgement Receipt displays the details of the submission, such as the file names of the uploaded documents and design reproduction files, time stamp, and total amount paid.

International Design Application (Hague)

Quick Start Guide

Acknowledgement Receipt
The USPTO has received your submission at **10:35:27** Eastern Time on **25-MAR-2015**.

No fees have been paid for this submission. Failure to pay the transmittal fee will result in the international design application not being transmitted to the International Bureau. See 37 CFR 1.1045. An international design application not received by the International Bureau within six months from receipt of the application by the USPTO will not be entitled to a filing date as of the date of receipt of the application by the USPTO. See Hague Agreement Rule 13.

eFiled Application Information

EFS ID	1301521	You may take the following actions: E-mail Receipt Info Print Receipt Save Receipt File Another Application File an Assignment of Ownership Pay Maintenance Fees Access Private PAIR
Application Number	35001442	
Confirmation Number	1039	
Title of Invention	New Hague Filing	
Applicant Name	Joe Inventor	
Customer Number or Correspondence Address	Joe Inventor 1234 Any Street Patterson US	
Attorney Docket Number		
Filing Date		
Receipt Date	25-MAR-2015	
Application Type	International Design Application (Hague) for filing through the USPTO as an office of indirect filing	

Application Details

Submitted Files	Page Count	Document Description	File Size	Warnings
1.pdf	6	App for Intl Registraton (DM/1 or equiv)	195842 bytes	PASS
fee-info.pdf	2	Fee Worksheet (SB06)	31575 bytes	PASS
atestCJG.jpg	1	Drawings-only black and white line drawings	30499 bytes	PASS
atest.jpg	1	Drawings-only black and white line drawings	30499 bytes	PASS

The acknowledgement receipt may be printed or saved to the applicant's computer. Further, a copy of the acknowledgement receipt has been placed in the electronic application file, which may be accessed through Private PAIR. If you need help:

- To ask questions about Patent e-Filing, or to suggest improvements to the online system, or report technical problems, please call the Patent Electronic Business Center at (866) 217-9197 (toll free) or send email to EBC@uspto.gov.
- Send general questions about USPTO programs to the [USPTO Contact Center \(UCC\)](#).
- For general questions regarding a petition, or requirements for filing a petition, contact the Office of Petitions Help Desk at 1 800-786-9199.

3.9 Save Submission Feature for Registered eFilers

When preparing an international design application submission, you have the option of saving the submission for later if you are a Registered eFiler. You must complete all of the required fields on the **“Application Data”** screen and attach at least one document on the **“Attach Documents”** screen in order to save the submission. At the bottom of the **“Confirm & Submit”** screen there will be a **“Save for Later Submission”** button available.

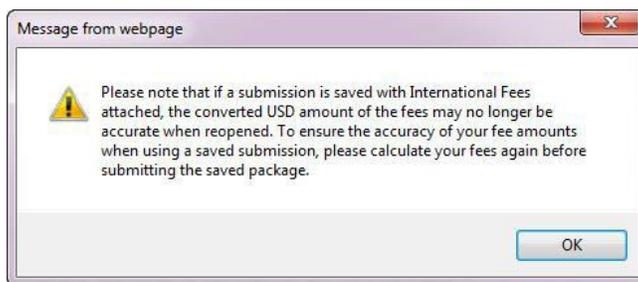
Page Count	Document Description	File Size	Validation Status Message
1	Drawings-only black and white line drawings	30499	PASS
1	Drawings-only black and white line drawings	30499	PASS

Save for Later Submission
Cancel
Submit
Please click Submit only once

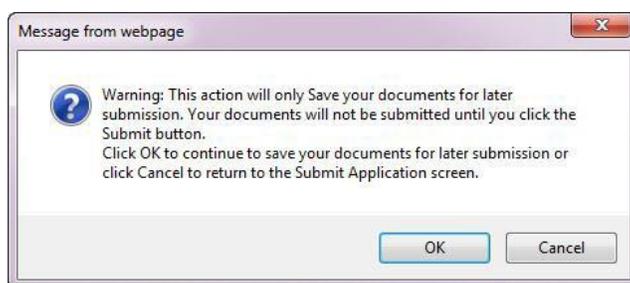
If you click on **“Save for Later Submission”** the following pop-up warnings will appear.

International Design Application (Hague)

Quick Start Guide



The first message warns you that if fees were calculated in the save submission package they may not be accurate at the time of submission, and recommends recalculating fees. Click "OK."



After clicking on the "OK" button, the next screen will display the saved application for later submission. There is a notification statement that explains that the submission has not been filed officially at the USPTO until the Submit function is executed. A statement at the bottom of the screen details that the submission will be saved for up to 7 days.

EFS Registered

Registered e-filers | Please Read Announcements | Application Data | Attach Documents | Review Documents | Calculate Fees | Saved In-Progress | Pay Fees | Receipt

Saved Applications for Later Submission

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received.

This is the application data that has been saved for later submission. If any information is incorrect, please edit the data.

EFS ID: 1301525
Saved Date: 25-MAR-2015 11:36:46
Title of Invention: Saved Submission Hague Filing
Applicant Name:
Customer Number, Correspondence Address: 59
USPTO Test Customer Number
Nov. 9 2014 Testing
600 DULANY STREET
8TH FLOOR
ALEXANDRIA
VA
22303
US
tony.uranga@uspto.gov
111-222-3333
Filed By:
Attorney Docket Number:
Application Type: International Design Application (Hague) for filing through the USPTO as an office of indirect filing

The following documents have been saved for later submission.

Files to be Submitted	Page Count	Document Description	File Size
Design Reproduction Files			
ID	Reproductions to be Submitted	Page Count	Document Description
1.1	995.jpg	1	Drawings-only black and white lin
1.2	atest.jpg	1	Drawings-only black and white lin

Documents will be saved for your convenience until midnight Eastern Time on 01-APR-2015 and then will be deleted.

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The screenshot shows the EFS-Web interface. At the top, there is a navigation bar with 'EFS Registered' and 'Registered eFilers'. Below this is a 'Certification' section with a warning message: 'A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission. All items denoted by * are required.' There is also an advisory about the AFCEP 2.0 program and an important notice about the Legal Framework for using EFS-Web. The main section is titled 'Your Digital Certificate has been authenticated - please certify your identity:'. It has two radio buttons: 'I certify that I am the certificate holder: Joe Inventor' (selected) and 'I certify that I am working under the authority of the certificate holder: Joe Inventor'. Below this are three radio buttons for 'Main Functions': 'New application/proceeding', 'Existing application/patent/proceeding', and 'My Workplace' (selected). Under 'My Workplace', there is a 'Select a My Workplace Function' section with links for 'Saved Submission Package' and 'View last 40 eFiling Acknowledgement Receipts'. There are two radio buttons: 'My Saved Packages' (selected) and 'Saved Packages by Customer Number'. A 'Retrieve' button is next to a dropdown menu. Below this is a 'Saved Packages' table with columns: Select, Date, EFS-ID, Title of Invention, Docket Number, First Named Inventor / Applicant Name, Registered Practitioner/ eFiler, and Delete. The table contains one row with the following data: Select (radio button), Date (25-MAR-2015), EFS-ID (1301525), Title of Invention (Saved Submission Hague Filing), Docket Number, First Named Inventor / Applicant Name (Joe Inventor), Registered Practitioner/ eFiler (Joe Inventor /), and Delete (button). At the bottom, there are 'Cancel' and 'Continue' buttons.

In order to later retrieve your saved submission package, you must log in to EFS-Web as a Registered eFiler. On the EFS-Web page you must select “**My Workplace**”, and then click on the “**Saved Submission Package**” link. Next, select “**My Saved Packages**” and click on the drop down menu to select an eFiler and click on the “**Retrieve**” button. This will retrieve your saved submission packages. Fill in the radio button under “**Select**” in order to choose the particular saved submission package and click the “**Continue**” button.

3.10 Follow-On Submissions

Registered eFilers may file follow-on submissions in international design applications through EFS-Web. Please note, however, that the USPTO will not forward to the International Bureau follow-on submissions that are received after an international design application has been transmitted to the International Bureau. Thus, for example, where the International Bureau has sent an invitation requiring compliance with a requirement under the Hague Agreement, the applicant **must file any reply to that invitation directly with the International Bureau to avoid abandonment or other loss of rights under the treaty.**

The available document descriptions and fees that may be paid through EFS-Web will differ depending on the stage of the international design application. As previously indicated, after the date of payment of the transmittal fee, the international fees payable to the International Bureau may not be paid through the USPTO. Furthermore, after transmittal of the international design

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application to the International Bureau has occurred, the document descriptions and fees that may be paid through EFS-Web include only those that would be relevant for U.S. national processing and examination. Follow-on submissions filed after transmittal of the international design application to the International Bureau but prior to WIPO publication of the international design application designating the United States will generally be presumed to have been intended for consideration by the USPTO acting as an examining office for the application. Accordingly, action by the Office on any such submission will generally be taken after receipt of the WIPO publication of the international design application designating the United States.

No follow-on submission or fee payment is required from the applicant to initiate examination of an international design application that designates the United States. Upon receipt by the Office of the WIPO publication of an international design application designating the United States, the Office will prepare the application file for examination. Registered eFilers may file follow-on submissions in the international design application via EFS-Web relevant to U.S. national processing and examination. Reproductions amended pursuant to 37 CFR 1.121 may be submitted via EFS-Web as either PDF or JPEG electronic files. Any such JPEG file must be attached to the EFS-Web submission using the “Attach JPEG Reproductions” section of the “Attach Documents” EFS-Web screen.

If you have any questions or concerns regarding filing in EFS-Web, please contact the Patent EBC Monday - Friday, from 6:00 a.m. to 12 Midnight Eastern Time, by email ebc@uspto.gov or telephone 866-217-9197.